

NRC Non-Power Reactor Oversight Activities

Research and Test Reactors Oversight Branch U.S. Nuclear Regulatory Commission

2012 TRTR Annual Meeting September 25, 2012



Introduction and Agenda

- Oversight Activities Greg Bowman
- Operator Medical Issues Phil Young
- Security Updates Greg Bowman
- Electronic Information Exchange Duane Hardesty



10 CFR 50.59

- Rule modified in 1999 to make it more safety focused
- Objectives of the rule:
 - Establish criteria for evaluating proposed changes to a facility
 - Require NRC approval if necessary
- Requires written documentation of changes to be maintained



10 CFR 50.54(p)(2)

- Requirements associated with changes to security plans
- No approval required if the change doesn't reduce effectiveness
- Regulation includes record retention and reporting requirements



Recent Inspection Findings

- Recent inspection issues
 - Failure to perform 10 CFR 50.59 evaluation
 - 10 CFR 50.59 for security plan changes
 - Failure to maintain documentation
- Inspection focus area
 - Program implementation
 - Review of completed evaluations
- Resources and references



Occupational Radiation Safety

- Previous events and inspections
 - Unplanned extremity exposure
 - Radioactive material spill
 - Unplanned entries into high radiation areas
- No examples of recent inspection findings
- Continued focus area



Operator Licensing

- Exam performance has been strong overall
 - Total of 25 exams to 94 candidates
 - 89% pass rate on exams
- Preparation for exams
- Timely submittal of applications and exam requests



Licensed Operator Medical Issues



HIPAA



Subpart D, "Applications" of 10 CFR 55.33(a)(1), "Health"



10 CFR 55.31, "How to Apply," or
Subpart D of 10 CFR 55.57,
"Renewal of Licenses"



Subpart C of 10 CFR 55.23, "Certification"



10 CFR 55.23(b)

10 CFR 55.33, "Disposition of an Initial Application"

Subpart F, "Licenses," of 10 CFR 55.57, "Renewal of Licenses"



Subpart C of 10 CFR 55.27, "Documentation"



Medical information must be sent to NRC as required by 10 CFR 55.31(6) and 55.57(6) and (2)



Notify pursuant to 10 CFR 55.25,

"Incapacitation Because of Disability or Illness,"

and

10 CFR 50.74(c) within 30 days of learning of the diagnosis



Conditional license requested

Facility licensee must forward the requested restriction, medical evidence, and explanation on NRC Form 396, as described in 10 CFR 55.23



Facility must maintain that medical evidence pursuant to 10 CFR 55.27



Under Subpart F, "Licenses," of 10 CFR 55.53 "Conditions of Licenses," including 10 CFR 55.53(g) and (k), licensed operator or applicant must notify the facility licensee



Licensed operator/applicant shall provide any medical records that the designated medical examiner deems necessary to complete the medical examination



NRC Form 396 requires signature of senior management representative onsite

By signing the Form 396, the facility certifies that the guidance identified was followed in determining the medical fitness of the operator



Subject to the requirements of 10 CFR 50.9, "Completeness and Accuracy of Information"



Conclusion

- Attributes of a successful NRC Form 396 submission
- Information that should be included for NRC's physician's review of a requested condition or reported change



United States Nuclear Regulatory Commission

Protecting People and the Environment

Personnel Access



Proposed Voluntary Initiative

- The Nuclear Energy Institute (NEI)
 maintains a database known as the Plant
 Access Data System (PADS)
- The Terrorist Screening Center maintains a comprehensive database of known or suspected terrorists.
- Use of PADS for non-power reactors



Pros

- Addresses a comment NRC received on the proposed rule for unescorted access to nonpower reactors
- Licensees can save time and money by not having to conduct background checks for individuals that are already in PADS
- NEI is supportive of including other NRC licensees into system



Cons

- Secured system could make the installation and maintenance expensive
 - NRC has discussed some options with NEI
 - NEI interested in meeting with TRTR
- Usefulness of the system depends on the level of participation



Sensitive Unclassified Information



Clearing the Fog

- Mislabeled documents
 - SGI, not SGI-M
 - Three different markings on one document
 - Security plans marked as 2.390
- Cover letters missing required declaration
- Mishandling of information



SGI, SGI-M, or 2.390

- SGI (10 CFR 73.21 and 22)
 - Sensitive unclassified
 - Applies to power reactors, formula quantity of strategic special nuclear material
 - Locked in security storage cabinet
- SGI-M (10 CFR 73.21 and 23)
 - Sensitive unclassified
 - Applies to RTRs, panoramic, and underwater irradiators
 - Stored in locked file drawer of cabinet
- 10 CFR 2.390
 - Proprietary
 - General description of security



Summary

- Combined mission
- Importance of communication
- Principles of good regulation



Questions

